



*A/N*  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER TRADEMARK	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
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08/328,632 10/25/94 PORUBEK

D 0204

03A1/1207

LEGAL AFFAIRS DEPARTMENT  
CELL THERAPEUTICS, INC.  
201 ELLIOTT AVENUE WEST, STE 400  
SEATTLE, WA 98119

0000

DATE MAILED:

12/07/94

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 150 for large entities or \$ 60 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☐ large entity, ☒ small entity (verified statement filed), is \$ 150.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$ \_\_\_\_\_ to complete the basic filing fee.
  2. ☐ Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
  3. ☒ The oath or declaration:  
☒ is missing.  
☐ does not cover items omitted at time of execution.
- An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
  5. ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
  6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
\_\_\_\_\_. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
  7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
  8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
  9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
  10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
  11. ☐ Other. Intentionally

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

SP13443 01/30/95 08240632

**A copy of this notice MUST be returned with the response.**



**PATENT APPLICATION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to The Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on:

1.6.95  
Date

[Signature]  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*In re* the Application of PORUBEK *et al.*

Group Art Unit: Unassigned

Application No.: 08/328,632

Examiner: Unassigned

Filed: October 25, 1994

Docket No.: 0204

For: COMPOUNDS HAVING SELECTIVE HYDROLYTIC POTENTIALS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED  
WITH DECLARATION AND POWER OF ATTORNEY**

The Honorable Commissioner of  
Patents and Trademarks  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) dated December 7, 1994, submitted herewith is the executed Declaration of the inventors. Any specification referenced in the Declaration is the specification and any amendments thereto which were filed in the Office to obtain an application filing date.

**APPLICANTS' UNDERSIGNED REPRESENTATIVE HEREBY AUTHORIZES THE COMMISSIONER TO CHARGE THE \$ 65.00 FEE UNDER 37 C.F.R. §1.16(e) -- small entity statement [X] has been filed [ ] is attached -- AND ANY OTHER REQUIRED FEE TO DEPOSIT ACCOUNT NUMBER 03-1182. TWO DUPLICATE COPIES OF THIS DOCUMENT ARE ENCLOSED.**

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notice to File Missing Parts. Examination and allowance of this application in due course are respectfully solicited.

Respectfully submitted,



Stephen Faciszewski  
Attorney for Applicants  
Registration No. 36,131

Date: January 6, 1995

**CELL THERAPEUTICS, INC.**

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**1/95**